Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2	

Complete if Known	
Application Number	10/666,482
Filing Date	September 18, 2003
First Named Inventor	Koji Yamada
Art Unit	
Examiner Name	
Attorney Docket Number	96790P442

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number — Number - Kind Code[2] (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document — Country Code[3]-Number[4]-Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
EC		JP 10-123341	05-15-1998	Nippon Telegr & Teleph		Abstract
EC		WO 02/25337	03-28-2002	Massachusetts Institute of...		Abstract
EC		JP 02-163706	06-25-1990	Nippon Telegr & Teleph		Abstract
EC		JP 2001-051144	02-23-2001	Nippon Telegr & Teleph		Abstract
EC		JP 01-196005	08-07-1989	Commiss Energ Atom		Abstract
EC		JP 2004-85868	03-18-2004	Matsushita Electric Ind Co Ltd		Abstract

Examiner Signature	/Erin Chiem/ (05/22/2006)	Date Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

[1]Applicant's unique citation designation number (optional). [2]See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4]For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wfr) 08/11/2003.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	2

Complete if Known	
Application Number	10/666,482
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First Named Inventor	Koji Yamada
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
EC		"0.1 dB/cm Waveguide Losses in Single-Mode SOI Rib Waveguides"; U. Fischer, et al., IEEE Photonics Technology Letters, 2 pages, MAY 1996	

Examiner Signature	/Erin Chiem/ (05/22/2006)	Date Considered	

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